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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert

Application No.: 10/042,371 Confirmation No.: 6761

Filed : December 27, 2001

For : SYSTEMS AND METHODS FOR CREATING AND

TRADING DYNAMIC SECURITIES

Group Art Unit : 3624

Examiner : Not yet assigned

New York, New York 10020 April 3, 2003

Box DAC Hon. Commissioner for Patents Washington, D.C. 20231

NOTIFICATION UNDER 37 C.F.R. § 1.213(c) OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicant hereby notifies the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

The earliest such filing occurred on December 18, 2002, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f) to

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revive this application is being filed concurrently herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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April 3, 2003

Claire J. Saintil-van Goodman

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Respectfully submitted, ,

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